



PATENT
Customer No. 22,852
Attorney Docket No. 06502.0254

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Peter C. JONES et al.) Group Art Unit: 2131
Application No.: 09/543,908) Examiner: Christopher A. Revak
Filed: April 6, 2000) Notice of Allowance Mailed: November 19, 2004
For: METHOD AND SYSTEM FOR)
ESTABLISHING TRUST IN)
DOWNLOADED PROXY CODE)

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

RESUBMISSION OF REFERENCES

Applicants have not yet received initialed 1449 forms for the Information Disclosure Statements filed on October 3, 2002, September 17, 2003, and January 6, 2004. Additional copies of the 1449 forms were filed with the August 31, 2004, Reply to Office Action. However, in a phone conversation on December 7, 2004, the Examiner stated that Information Disclosure Statements and PTO 1449 forms were not entered into the file. At the Examiner's request, enclosed are additional copies of the Information Disclosure Statements, PTO 1449 Forms (with references) and the stamped postcards showing receipt by the PTO. Applicants again request the return of the initialed 1449 forms indicating that the references have been considered by the Examiner.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: January 11, 2005

By: 

Jeffrey A. Berkowitz
Reg. No. 36,743

JAB/EHA



PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Peter C. JONES et al.

Serial No.: 09/543,908

Group Art Unit: 2766

Filed: April 6, 2000

Examiner: Not Yet Assigned

For: METHOD AND SYSTEM FOR ESTABLISHING TRUST
IN DOWNLOADED PROXY CODE

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b) (2 pages)
2. PTO Form 1449 (1 page), including 15 references

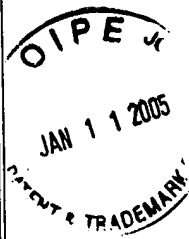
Dated: September 17, 2003

Docket No.: 6502.0254-00

JAB/EHA/maw (M.D. 965B RES)



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DAB
9/18/03



PATENT
Customer No. 22,852
Attorney Docket No. 6502.0254-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
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Peter C. JONES et al.) Group Art Unit: 2766
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Application No.: 09/543,908) Examiner: Not yet assigned
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Filed: April 6, 2000)
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For: METHOD AND SYSTEM FOR)
ESTABLISHING TRUST IN)
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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement (IDS) supplements the Statements filed June 14, 2000, October 17, 2000, August 22, 2001, November 27, 2001, May 1, 2002, June 12, 2002, October 3, 2002, October 23, 2002, March 14, 2003, and June 19, 2003, and is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitutes "prior art." If the Examiner applies the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

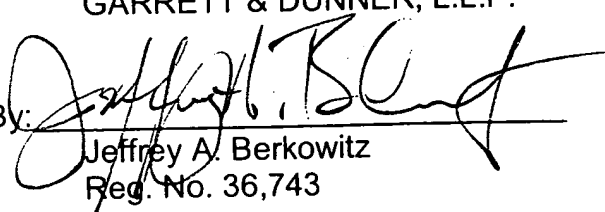
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

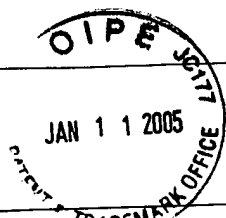
Dated: September 17, 2003

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Jeffrey A. Berkowitz
Reg. No. 36,743

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INFORMATION DISCLOSURE CITATION
 (Use several sheets if necessary)

Atty. Docket No.: 06502.0254-00000

Application No.: 543,908/09

Applicant: Peter C. JONES et al.

Filing Date: April 6, 2000

Group: 2766

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
		US 5,303,042	04/12/1994	Lewis et al.			
		US 5,506,984	04/09/1996	Miller			
		US 5,754,977	05/19/1998	Gardner et al.			
		US 5,761,507	06/02/1998	Govett			
		US 5,764,915	06/09/1998	Heimsoth et al.			
		US 6,034,925	03/07/2000	Wehmeyer			
		US 6,055,562	04/25/2000	Devarakonda et al.			
		US 6,058,381	05/02/2000	Nelson			
		US 6,078,655	06/20/2000	Fahrer et al.			
		US 6,192,044 B1	02/20/2001	Mack			
		US 6,199,068 B1	03/06/2001	Carpenter			
		US 6,219,675 B1	04/17/2001	Pal et al.			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Java (TM) Object Serialization Specification," Sun Microsystems, Inc., XP-002242372, <www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf>, November 30, 1998 (76 pages).
	Chan, P. et al., <u>The Java Class Libraries, 2nd Edition</u> , Vol. 1, "Java.io ObjectInputStream," XP-002243027, pp. 1230-1232, 1262-1264, and 1283, March 9, 1998 (7 pages).
	Opyrchal et al., "Efficient Object Serialization in Java," Department of Electrical Engineering and Computer Science, University of Michigan, XP-002242373, May 31, 1999 (6 pages).

Examiner

Date Considered:

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



JAB/EHA

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Peter C. JONES et al.

Serial No.: 09/543,908

Group Art Unit: 2766

Filed: April 6, 2000

Examiner: Unassigned

For: METHOD AND SYSTEM FOR
ESTABLISHING TRUST IN
DOWNLOADED PROXY CODE

-
1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b).
 2. Form PTO 1449 with copies of two (2) references.

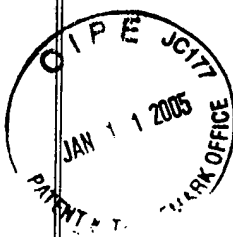
Dated: January 6, 2004

Docket No.: 06502.0254

JAB/EHA/maw (M.D. 965B – RES)



to
1/6/2004
JAB



PATENT
Customer No. 22,852
Attorney Docket No. 06502.0254-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
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Peter C. JONES et al.) Group Art Unit: 2766
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Application No.: 09/543,908) Examiner: Unassigned
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Filed: April 6, 2000)
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For: METHOD AND SYSTEM FOR)
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Commissioner for Patents
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Alexandria, Virginia 22313-1450

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
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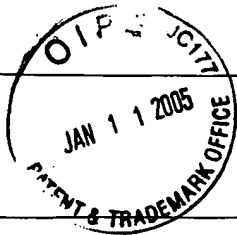
Dated: January 6, 2004

By: 

Jeffrey A. Berkowitz
Reg. No. 36,743

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OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Atty. Docket No.: 06502.0254

Application No.: 09/543,908

Applicant: Peter C. JONES et al.

Filing Date: April 6, 2000

Group: 2766

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	2002/0059212A1	05/16/2002	Takagi			10/22/2001
	6,009,464	12/28/1999	Hamilton et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Date Considered:

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Peter C. JONES et al.

Serial No.: 09/543,908

Group Art Unit: 2766

Filed: April 6, 2000

Examiner: Unassigned

For: METHOD AND SYSTEM FOR ESTABLISHING TRUST IN DOWNLOADED PROXY
CODE

1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b).
2. Form PTO 1449 with copies of eighty-five (85) references.

Dated October 3, 2002

Docket No.: 06502.0254

JAB/FAI:J. Walker - Mail Drop 878



dltdl/KCD/10-04-02

JAB



PATENT
Customer No. 22,852
Attorney Docket No. 06502.0254-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Peter C. JONES et al.) Group Art Unit: 2766
Application No.: 09/543,908) Examiner: Not Assigned
Filed: April 6, 2000)
For: METHOD AND SYSTEM FOR)
ESTABLISHING TRUST IN)
DOWNLOADED PROXY CODE)

Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER
37 C.F.R. § 1.97(b)

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 3, 2002

By:  Reg # 24,014
for Jeffrey A. Berkowitz
Reg. No. 36,743

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OMB No. 0651-0011

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Atty. Docket No.: 06502.0254-00000

Application No.: 543,908/09

Applicant: Peter C. JONES et al.

Filing Date: April 6, 2000

Group 2766

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US4,567,359	1/28/1986	Lockwood			
	US4,992,940	2/12/1991	Dworkin			
	US5,253,165	10/12/1993	Leiseca et al.			
	US5,319,542	6/7/1994	King, Jr. et al.			
	US5,475,840	12/12/1995	Nelson et al.			
	US5,511,196	4/23/1996	Shackelford et al.			
	US5,544,040	8/6/1996	Gerbaulet			
	US5,592,375	1/7/1997	Salmon et al.			
	US5,664,110	9/2/1997	Green et al.			
	US5,664,111	9/2/1997	Nahan et al.			
	US5,666,493	9/9/1997	Wojcik et al.			
	US5,671,279	9/23/1997	Elgamal			
	US5,694,551	12/2/1997	Doyle et al.			
	US5,710,887	1/20/1998	Chelliah et al.			
	US5,715,314	2/3/1998	Payne et al.			
	US5,721,832	2/24/1998	Westrope et al.			
	US5,727,048	3/10/1998	Hiroshima et al.			
	US5,729,594	3/17/1998	Klingman			

Examiner

Date Considered:

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Applicant: Peter C. JONES et al.

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Group 2766

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US5,742,768	4/21/1998	Gennaro et al.			
	US5,758,328	5/26/1998	Giovannoli			
	US5,778,179	7/7/1998	Kanai et al.			
	US5,790,677	8/4/1998	Fox et al.			
	US5,794,207	8/11/1998	Walker et al.			
	US5,809,144	9/15/1998	Sirbu et al.			
	US5,850,442	12/15/1998	Muftic			
	US5,963,924	10/5/1999	Williams et al.			
	US6,009,413	12/29/1999	Webber et al.			
	US6,093,216	7/25/2000	Adl-Tabatabai et al.			
	US6,216,158 B1	4/10/2001	Luo et al.			
	US6,243,716 B1	6/5/2001	Waldo et al.			

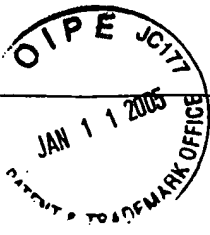
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Group 2766

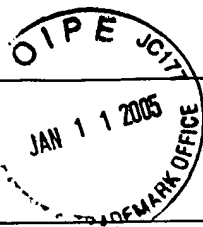
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Consumers Can View, Share Picture On-Line as Kodak Picture Network Goes 'Live'," Business Wire, Aug. 25, 1997, pp. 18-19.
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	Bruno, "Working the Web," Data Communications, Apr. 1997, pp. 50-60.
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	Conhaim, "Online shopping: a beginner's guide; includes related listing of videotex services," Link-Up, Vol. 5, No. 6, p. 32, Nov. 1988.
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	Estrin, "Inter-Organization Networks: Implications of Access Control Requirements for Interconnection Protocols," ACM, 1986, pp. 254-263.

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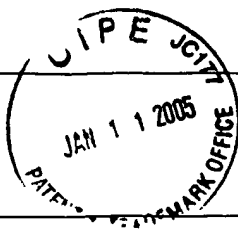
**INFORMATION DISCLOSURE CITATION**
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Atty. Docket No.: 06502.0254-00000	Application No.: 543,908/09
Applicant: Peter C. JONES et al.	
Filing Date: April 6, 2000	Group 2766

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Fleischer, "SkyMall's 'Supplier Network' Takes Flight", Retailtech, The Technology Magazine for Retail Executives, April 1997.
	Foley, "Managing Campus-Wide Information Systems: Issues and Problems," Capitalizing on Communication, ACM SIGUCCS XVII, 1989, pp. 169-174.
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Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

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Group 2766

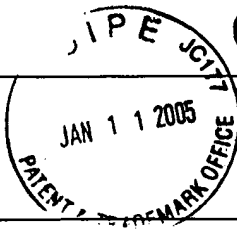
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	Satyanarayanan, "Integrating Security in a Large Distributed System," ACM Transactions on Computer Systems, Vol. 7, No. 3, Aug. 1989, pp. 247-280.
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